
UNIVERSITY NOTIFICATION

Volume No. 1

Issue No. 1

2015

The First Statutes of KLE Technological University



KLE Technological
University
Creating Value
Leveraging Knowledge

KLE TECHNOLOGICAL UNIVERSITY

(Established under Karnataka Act No.22, 2013)

Vidyanagar, Hubballi - 580031

www.kletech.ac.in

Contents

Sl.No	Description	Page
CHAPTER – I- PRELIMINARY		
1	Short title, extent and commencement	1
2	Definitions	1
CHAPTER – II - THE UNIVERSITY AND SPONSORING BODY		
3	Campus	3
4	Sponsoring Body	3
CHAPTER – III - OFFICERS OF THE UNIVERSITY		
5	The Chancellor	3
6	The Pro Chancellor	4
7	The Vice-Chancellor	4
8	The Pro Vice Chancellor	5
9	The Deans	6
10	The Registrar	6
11	The Finance Officer	8
12	Other Officers	8
13	Delegation of Powers	9
CHAPTER-IV-AUTHORITIES OF THE UNIVERSITY		
14	Authorities of the University	9
15	The Board of Governors	9
	Decision of the Board in interpretation of the Statutes	10
	Creation of entities	10
	Resource Mobilization and Corpus Fund(s)	11
	Life-long Learning and Continuing Education	11
	Knowledge Resources and Management	11
	Ownership and Exploitation of Knowledge	11
	Furtherance of Objects of University	12
	Cooperation and collaboration with other universities, institutions of higher learning, industries and other organizations	12
16	The Executive Council	12
17	The Academic Council	13
18	The Research Council	15
19	The Finance Council	16

Sl.No	Description	Page
20	The Estates' Council	17
21	Other Authorities	18
CHAPTER – V-STATUTES AND REGULATIONS		
22	Rules of Business of Meetings of the Authorities	19
23	Operation of Funds	19
24	Creation,abolition or restructuring of departments and faculties	19
25	Conferment of honorary degrees	19
26	Power to amend the Statutes	19
27	Number of seats in different programmes	20
28	Admission of students	20
29	Conferment of degrees	20
30	Withdrawal of degress	20
31	Institution of fellowships, studentships, free-ships, scholarships, medals and prizes	20
32	Conditions of Residence	21
33	Maintenance of Discipline	21
34	Fee Regulation Committee	21
CHAPTER – VI- MISCELLANEOUS		
35	Appointment and Service Conditions of employees	21
36	Secrecy and Confidentiality	24
37	Disciplinary Action against the employee	24
38	Dispute arising out of the contract	25
39	Rights to Appeal	25
40	Protection of action taken in good faith	26
41	Transitional provisions	26
42	Permanent Statutory Endowment Fund	26
43	University Endowment Fund	26
44	General Fund	26
45	Investment	26
46	Accounts	26
47	Amendment	27

48	Dissolution	27
49	Irrevocability	27
50	Beneficiary	27
51	Utilization	27
52	Appointment of Auditors	27

UNIVERSITY NOTIFICATION

No. KLETECH/STATUTES/2015/1

Date: July 25, 2015

In furtherance of the objectives and in exercise of the powers conferred by Section-33 of the KLE Technological University Act, 2012 and Section-5 of the Government of Karnataka Notification, the Board of Governors, in its meeting on July 25, 2015, approves the "**FIRST STATUTES OF THE KLE TECHNOLOGICAL UNIVERSITY**", framed and submitted by the Executive Council, and the same are notified as required under Section-34 of the said Act.

CHAPTER – I PRELIMINARY			
Short title, extent and commencement	1	1.1	These Statutes shall be called "The First Statutes of KLE Technological University" approved by the Board of Governors as per Section-34 of the KLE TECHNOLOGICAL University Act, 2012.
		1.2	These Statutes shall come into force from the date of their notification by the University.
Definitions	2	2.1	In these Statutes, unless the context otherwise requires:
		i	"Act" means The KLE TECHNOLOGICAL University Act, 2012 (Karnataka Act No. 22 of 2013);
		ii	"Academic Council", "Board of Governors", "Estate Council", "Executive Council", "Finance Council" and "Research Council", mean respectively the Academic Council, Board of Governors, Estate Council, Executive Council, Finance Council, and Research Council of the University;
		iii	"Agenda Matters" means all the matters and businesses which can be either included or be taken up for discussion at a meeting of the Authorities of the University with the prior approval of the Chair;
		iv	"Authorities" means the Authorities of the University;
		v	"Board" means the Board of Governors of the University;
		vi	"Campus" means a campus(es) established and maintained by the University;

		vii	“Chancellor”, “Pro Chancellor”, “Vice-Chancellor”, “Pro Vice-Chancellor”, “Registrar”, “Controller of Examinations”, and “Dean(s)”, mean respectively the Chancellor, Pro Chancellor, Vice-Chancellor, Pro Vice-Chancellor, Registrar, Controller of Examinations, and Dean(s) referred to as Officers of the University;
		viii	"Competent Authority" means the authority competent to exercise the different powers and functions specified in the Act;
		ix	“Committees” means the committees formed by the various Authorities and Officers of the University;
		x	"Contract" means an agreement entered into in writing between the University through the Registrar and an individual or an organization through its authorized person(s);
		xi	“Convocation” means the convocation of the University, where Degrees, honorary Degrees, Diplomas, Academic Distinctions, and Certificates are awarded as per the requirements of the University;
		xii	“Degree” means a degree awarded by the University with or without Specialization and/or Minor;
		xiii	“Government” means the Government of Karnataka;
		xiv	"Notification" means the notification of the University;
		xv	“Prescribed” means prescribed by the rules made by the University under the Act, Statutes, Regulations, Guidelines and Notifications;
		xvi	Reference to the masculine gender in this document as in “he” or “his” is for convenience. Such usage includes the feminine form of the pronoun in its intent;
		xvii	“Regulations” means the Regulations of the University;
		xviii	"Society" means the Karnatak Lingayat

			Education Society Belagavi (KLES);
		xix	"Sponsoring Body" means the Karnatak Lingayat Education Society Belagavi (KLES);
		xx	"State", means the State of Karnataka;
		xxi	"Statutes" means these Statutes of the University;
		xxii	"University" means the KLE Technological University, Hubballi, established and incorporated under the KLE Technological University Act, 2012.
		xxiii	"Visitor" and "Pro Visitor" mean respectively the Visitor and Pro Visitor of the University.
CHAPTER – II THE UNIVERSITY AND SPONSORING BODY			
Campus	3		The campus of KLE Technological University shall be located at Vidyanagar, Hubballi – 580031.
Sponsoring Body	4		Karnatak Lingayat Education Society, Belagavi shall be the sponsoring body of the University.
CHAPTER – III OFFICERS OF THE UNIVERSITY			
The Chancellor	5	5.1	In exercise of the powers conferred by Sections-11(i) and 15(1) of the Act, the Chancellor shall be appointed by the Sponsoring Body and shall have such powers and functions as prescribed under Section 15(5) of the Act.
		5.2	The Chancellor shall be the Head of the University and shall ensure that the Act, Statutes, Regulations, Rules and Guidelines are faithfully observed.
		5.3	In the event of any conflict(s) between authorities of the University, disputes relating to interpretation and implementation of Statutes, Regulations and other legal documents of the University, the Chancellor's decision shall be final and binding on the University.

The Pro Chancellor	6	6.1	In exercise of the powers conferred by Section-11(ii) of the Act, the Pro Chancellor may be appointed by the Sponsoring Body in consultation with the Chancellor on terms and conditions listed in the contract of appointment.
		6.2	Pro Chancellor, appointed by the Chancellor when the need arises, shall have powers as specified by the Chancellor in his appointment letter.
		6.3	The Pro Chancellor shall assist the Chancellor and take up such responsibilities as may be assigned by the Chancellor, from time to time.
		6.4	In the absence of the Chancellor, the Pro Chancellor shall perform the duties of the Chancellor, with the permission of the Sponsoring Body.
The Vice-Chancellor	7	7.1	The Vice-Chancellor shall be appointed by the Chancellor as per Section-17(1) of the Act whose terms and conditions of appointment shall be those contained in the contract of appointment.
		7.2	The selection of the Vice-Chancellor shall be as per provisions of 17(1)-(3) of the Act.
		7.3	Notwithstanding anything contained in any other provisions of the Act and these Statutes, the FIRST Vice-Chancellor shall be appointed by the Chancellor, as prescribed under Section-47 of the Act.
		7.4	The Vice-Chancellor shall be a whole-time salaried Officer and the Principal Executive and Academic Officer of the University. The Vice-Chancellor shall exercise general supervision and control over the affairs of the University.
		7.5	The Vice-Chancellor shall be entitled to pay as per UGC Regulations from time to time on this subject. The DA, other allowances, leave and other perks shall be governed by the norms of the Sponsoring Body.
		7.6	The Vice-Chancellor shall have such powers and duties provided under Section-17 of the Act and in addition:

		7.6.1	The Vice-Chancellor shall exercise all powers necessary for the maintenance of discipline in the University;
		7.6.2	The Vice-Chancellor shall have powers to constitute Committee(s) or Panel(s), which are deemed to be necessary, with the approval of the Board. Such Committee(s) or Panel(s) shall be automatically dissolved on completion of their tenure or by an express order of dissolution by the Vice-Chancellor.
		7.7	If the Office of the Vice-Chancellor becomes vacant for any reason- (i) if the vacancy is temporary in nature, the Vice-Chancellor may delegate the powers appropriately to a Pro Vice-Chancellor or any other officer of the University with the approval of the Chancellor, (ii) if the vacancy is permanent in nature, the Chancellor may initiate steps to appoint a new Vice-Chancellor, by following the provisions of Section 17(1)-(3).
The Pro Vice-Chancellor	8	8.1	In exercise of the powers conferred by Section-18 of the Act, the Vice-Chancellor may appoint not exceeding THREE Pro Vice-Chancellors with the written approval of the Chancellor to assist the Vice-Chancellor in all matters pertaining to the functioning of the University.
		8.2	Each Pro Vice-Chancellor shall be a full-time Professor of the University whose terms and conditions of appointment shall be those contained in the contract of appointment, as approved by the Board.
		8.3	Notwithstanding anything contained in any other provisions of the Act and these Statutes, the FIRST Pro Vice-Chancellor, if any, shall be appointed by the Chancellor, as prescribed under Section-47 of the Act.
		8.4	The term of appointment of the Pro Vice-Chancellor shall be THREE years which may be renewable for further terms.
		8.5	The Pro Vice-Chancellor shall assist the Vice-Chancellor and take up such responsibilities as

			may be assigned by the Vice-Chancellor from time to time.
The Deans	9	9.1	In exercise of the powers conferred by Section-19 of the Act, the Vice-Chancellor may appoint Deans with the approval of the Board.
		9.2	Deans shall be appointed by the Vice-Chancellor. Deans shall be chosen from amongst the Professors of the University based on their background, proven track record, leadership qualities and shall be appointed for a period of 3 years. They shall perform such functions and duties as assigned by the Vice-Chancellor. There shall be: <ul style="list-style-type: none"> i. Dean, Faculty of Engineering ii. Dean, Faculty of Science iii. Dean, Faculty of Management and Social Science, and iv. Such other Deans, as may be required from time to time, namely: Dean, Research and Development; Dean, Planning and Development; Dean, Students' Welfare; and others.
The Registrar	10	10.1	The Registrar shall be appointed by the Chancellor as specified under Section-20 of the Act. The terms and conditions for the appointment of Registrar shall be as follows: <ul style="list-style-type: none"> a. He should have experience as Professor of atleast 5 years or held an equivalent post. b. He should be conversant with administration and academic arrangements in higher education. c. The post may be advertised and filled by a Selection Committee consisting of Chancellor as the Chairperson, Vice chancellor and an expert nominated by the Chancellor as members. d. The person so selected could be an internal candidate or from outside the University. e. However, the Chancellor shall have the authority to appoint a person who is fulfilling requirements (a & b) above.
		10.2	Not withstanding anything contained in any

			other provisions of the Act and these Statutes, the FIRST Registrar shall be appointed by the Chancellor, as prescribed under Section-20 of the Act.
		10.3	The Registrar shall be the Principal Administrative Officer of the University.
		10.4	The Registrar shall be a whole-time salaried Officer of the University whose terms and conditions of appointment shall be those contained in the contract of appointment, as approved by the Board.
		10.5	The term of appointment of the Registrar shall be THREE years which may be renewable for further terms.
		10.6	The Registrar shall conduct all the official correspondence and shall be the official signatory on behalf of the University, with the approval of the Vice-Chancellor.
		10.7	The Registrar shall assist the Vice-Chancellor to give effect to the decisions of the Authorities of the University.
		10.8	If the Office of the Registrar falls vacant for any reason, the duties of the Office shall be performed by such person nominated by the Vice-Chancellor, with the approval of the Chancellor.
		10.9	The Registrar shall be the custodian of assets, Minutes of the meetings of the Authorities, and legal documents of the University in addition to those mentioned in the Act.
		10.10	All orders and decisions of the Authorities shall be authenticated by the signature of the Registrar or any other person authorized by the Board in this behalf.
		10.11	The Registrar may sue or be sued on behalf of the University. The Registrar or his nominee in writing shall represent the University in legal proceedings, sign pleadings and other documents and accept processes on behalf of the University in such legal proceedings.

The Finance Officer	11	11.1	The Finance Officer shall be appointed by the Vice-Chancellor, with the written approval of the Chancellor, on terms and conditions listed in the contract of appointment. The Finance Officer may be chosen by the Selection Committee consisting of Vice chancellor as the Chairperson person, and two experts nominated by the Chancellor as members through an open selection procedure.
		11.2	Notwithstanding anything contained in any other provisions of the Act and these Statutes, the FIRST Finance Officer, shall be appointed by the Chancellor, as prescribed under Section-21 of the Act.
		11.3	The Finance Officer shall be a whole-time salaried officer of the University.
		11.4	The term of appointment of the Finance Officer shall be THREE years which may be renewable for further terms.
		11.5	The Finance Officer shall assist the Vice-Chancellor in all matters of finance and take up such other responsibilities as may be assigned by the Vice-Chancellor from time to time.
		11.6	The Finance Officer shall be responsible for the preparation of budget, maintenance of accounts and shall be responsible for following the rules, regulations and good management practices in prudent financial management of the university.
Other Officers	12	12.1	The other Officers of the University shall be as specified under Section-12 (ix) of the Act and include the Controller of Examinations. The terms and conditions for the appointment of other Officers shall be those contained in the contract of appointment of the University.
		12.2	The Controller of Examinations: The Controller of Examinations shall be appointed by the Vice-Chancellor, with the approval of the Board.
		12.2.1	The Controller of Examinations shall be a Professor, whose terms and conditions for the appointment shall be those contained in the contract of appointment.

		12.2.2	The term of appointment of the Controller of Examinations shall be THREE years which may be renewable for further terms.
		12.2.3	The Controller of Examinations shall assist the Vice-Chancellor in all matters pertaining to student evaluation as well as examinations and take up such other responsibilities as may be assigned by the Vice-Chancellor from time to time.
		12.2.4	If the Office of the Controller of Examinations falls vacant for any reason, the duties of the Office shall be performed either by the Registrar or a person nominated by the Vice-Chancellor with the approval of the Chancellor.
Delegation of Powers	13		Subject to the provisions of the Act and these Statutes, any Officer or Authority of the University may delegate in writing, the powers to any other Officer or Authority or person, with the approval of the Board or Executive Council as the case may be, subject to the condition that overall responsibility for the exercise of the powers so delegated shall continue to vest in the Officer or Authority delegating such powers.
CHAPTER – IV AUTHORITIES OF THE UNIVERSITY			
Authorities of the University	14		The Authorities of the University shall be as prescribed under Section-23 of the Act. In exercise of the powers conferred by Section-23(vi) of the Act, the University shall have the following additional Authorities of the University, namely: i. The Estates' Council
The Board of Governors	15	15.1	The Board shall be the Principal Governing and Policy making Body of the University with powers as prescribed under Section-24 (6) of the Act.
		15.2	The Board shall exercise all the powers of the University not otherwise provided by the Act, the Statutes and the Regulations.
		15.3	The Board shall have the powers to review decisions of other Authorities if they are not in

			conformity with the provisions of the Act.
		15.4	The Board shall consist of the following additional members:
		i.	One of the Deans nominated by the Chancellor on the recommendation of the Vice-Chancellor-Member.
		15.5	Notwithstanding anything contained in any other provisions of the Act and these Statutes, the FIRST Board shall be constituted by the Chancellor, as prescribed under Section-47 of the Act.
		15.6	The normal tenure of Office of the nominated members of the Board shall be THREE years, subject to extension by 6 months in the event the Board is not re-constituted in-time.
		15.7	The quorum for all meetings of the Board shall be THREE members attending and voting at such meetings.
		15.8	If a member of the Board fails to attend three consecutive meetings without leave of absence from the Board, he shall cease to be a member of the Board.
		15.9	A member of the Board shall be liable to be removed if found medically un-fit to hold any responsible position.
		15.10	If a member resigns from the membership his membership shall be terminated.
		15.11	The retiring members shall be eligible for renomination.
		15.12	The Board shall have the powers to create, restructure, and abolish Faculties, Departments, categories of employees and individual posts of Officers of the University, from time to time, on the recommendation of the Executive Council.
Decision of the Board in interpretation of the Statutes		15.13	The decision of the Board on all questions relating to the interpretation of these Statutes and the provisions therein shall be final and binding.
Creation of entities		15.14	The Board may lay down suitable Regulations and/or Guidelines for establishing entities

			including Special Purpose Vehicles (SPV), Companies under section-25 of the Companies Act, Registered Societies and other entities to promote academic and research excellence, innovation and entrepreneurship.
Resource Mobilization and Corpus fund(s)		15.15	The University may raise resources from different sources such as consultancy, entrepreneurship, royalty, donations, continuing education programmes, and distance education programmes and create and operate its own Corpus Fund(s) wherever necessary to do so and manage these funds through structured systems. The Board may create such suitable structures with due accountability as it deems appropriate from time to time.
Life-long Learning and Continuing Education		15.16	The Board may create suitable procedures and structures to offer Life-long Learning and Continuing Education programmes and lay down suitable Regulations and/or Guidelines in this regard.
		i	The Board may create 'Centre for Distance Education' to take care of on-line enrichment courses on important subjects like OBE, Good Governance, Pedagogy and others for the benefit of faculty/students from other institutions in the State or outside.
Knowledge resources and management		15.17	The Board may provide for creation of suitable facilities for knowledge resources and management to cater to the needs of faculty, students, researchers and others engaged in academic, management, and administrative pursuits. Such facilities may include those for the process of technology-enhanced learning programmes through contemporary means and methods in electronic and print forms. The Board shall formulate Regulations and/or necessary Guidelines for this purpose.
Ownership and exploitation of knowledge		15.18	The University shall formulate appropriate Regulations and/or Guidelines regarding intellectual property rights, copyrights and their exploitation, and sharing of benefits between the University and other stakeholders, with the approval of the Board.

Furtherance of objects of University		15.19	The University shall have the powers to start new programmes of study for the enhancement of higher education and other development sectors, in collaboration with other institutions of repute, research organizations, and/or industry, with prior approval of the Board.
Cooperation and collaboration with other universities, institutions of higher learning, industries and other organizations		15.20	In furtherance of the objects of the University specified in Section 7 of the Act, the University shall seek cooperation and collaboration with other universities, institutes of higher learning, industries and other organizations in India or abroad. The University shall execute Memoranda of Understanding (MOUs) detailing the extent and areas of such cooperation and collaboration mutually agreed upon. The Registrar shall be the authorized signatory of all such MOUs on behalf of the University. The University shall prepare the guidelines in dealing with all such collaborations which include the possible grant and transfer of credits for the academic work carried out by the students in those organizations. Such MOUs shall be reported to the Board in its next meeting.
The Executive Council	16	16.1	The Executive Council shall be the Principal Executive Body of the University. The Minutes of the Meetings of the Executive Council shall be reported to the Board.
		16.2	The Executive Council shall consist of the following additional members:
		i	A distinguished person from industry / academia nominated by the Chancellor – Member.
		ii	Pro Vice Chancellor(s) of the University-Member(s).
		16.3	Notwithstanding anything contained in any other provisions of the Act and these Statutes, the FIRST Executive Council shall be constituted by the Chancellor, as prescribed under Section-47 of the Act.
		16.4	The normal tenure of Office of the nominated members of the Executive Council shall be THREE years.
		16.5.	Under extenuating circumstances, the Board

			may reconstitute the Executive Council before the expiry of its term.
		16.6.	The powers and functions of the Executive Council shall be:
		i	To advise the Academic Council and Research Council in matters that have bearing on the administration and management of the University;
		ii	To formulate, alter, amend, repeal and approve all the Regulations, Rules, Guidelines, and Manuals of the University; and
		iii	To perform such other functions as may be assigned by the Board.
		16.7	The Executive Council shall meet as and when necessary and at least TWO times a year.
		16.8	The quorum for all meetings of the Executive Council shall be FOUR members.
The Academic Council	17	17.1	The Academic Council is the Principal Academic Body of the University.
		17.2	The composition of the Academic Council shall be as prescribed under Section-26 of the Act. In exercise of the powers conferred by Section-26 (1) (iv) of the Act, the Academic Council shall have the following additional members:
		i	Pro Vice Chancellor(s) of the University – Member(s).
		ii	Deans – Members.
		iii	Heads of the Department and Chairs of Schools- Members.
		iv	Controller of Examinations- Member
		v	TWO distinguished academicians from institutions of higher learning nominated by the Vice-Chancellor- Members.
		vi	TWO distinguished persons from industries nominated by the Vice-Chancellor- Members
		vii	ONE alumnus nominated by the Vice-Chancellor- Member.
		viii	Student members one each at the level of UG, PG, and Ph.D., invited for select meetings, of whom at least one shall be a woman- Invitees.

		17.3	Notwithstanding anything contained in any other provisions of the Act and these Statutes, the FIRST Academic Council shall be constituted by the Chancellor, as prescribed under Section-47 of the Act.
		17.4	The normal tenure of office of the nominated members of the Academic Council shall be THREE years.
		17.5	Under extenuating circumstances, the Board may reconstitute the Academic Council before the expiry of its term.
		17.6	The Academic Council shall meet at least TWO times a year. However, the Vice-Chancellor may convene an extraordinary meeting of the Academic Council for the transaction of any urgent matter.
		17.7	The powers and functions of the Academic Council shall be:
		i	To coordinate and supervise the academic policies of the University as prescribed under Section-26 (2) of the Act;
		ii	To scrutinize and approve the proposals with or without modification of the Boards of Studies with regard to courses of study, academic, curricula, syllabi and modifications thereof, etc., provided that where the Academic Council differs on any proposal, it shall have the right to return the matter for reconsideration to the Board of Studies concerned or reject it, after giving reasons to do so.
		iii	To frame appropriate Regulation in respect of the mode of teaching-learning adopted to impart education to the students including direct contact, online, hybrid / blended or any other mode deemed fit.
		iv	To recommend to the Executive Council proposals for institution of new programmes of study.
		v	To recommend to the Executive Council on the institution of scholarships, studentships, fellowships, prizes and medals, and to frame Regulations for the award of the same.
		vi	To advise the Executive Council on suggestions(s) pertaining to academic affairs

			made by it.
		vii	To perform such other functions as may be assigned by the Executive Council
		viii	To be responsible for the quality, standard and integrity of academic activities of the University;
		ix	To constitute Committees such as Board of Studies, Board of Examinations and others as required;
		x	To recommend the conferment of degrees/diplomas/certificates on qualifying persons after successful completion of the programmes; and
		xi	To recommend the conferment of honorary degrees.
		17.8	The Academic Council shall seek the approval of the Executive Council in relevant matters.
The Research Council	18	18.1	The Research Council shall be the Principal Research Body of the University and shall formulate the research policies and research programmes of the University as prescribed under Section-27 of the Act.
		18.2	The composition of the Research Council shall be as prescribed under Section-27(2) of the Act. In exercise of the powers conferred by Section-27 (2) (vi) of the Act, the Research Council shall have the following additional members:
		i	Pro Vice Chancellor(s) of the University – Member(s)
		ii	The Registrar- Member Secretary
		iii	TWO external experts from institutes of higher learning, research organizations and industries nominated by the Vice-Chancellor- Members.
		18.3	Notwithstanding anything contained in any other provisions of the Act and these Statutes, the FIRST Research Council shall be constituted by the Chancellor, as prescribed under Section-47 of the Act.
		18.4	The normal tenure of Office of the nominated members of the Research Council shall be THREE years.

		18.5	Under extenuating circumstances, the Board may reconstitute the Research Council before the expiry of its term.
		18.6	The Research Council shall meet at least TWO times a year. However, the Vice-Chancellor may convene an extraordinary meeting of the Research Council for the transaction of any urgent matter.
		18.7	The quorum for all meetings of the Research Council shall be FIVE members.
		18.8	The Research Council shall:
		i	Promote research and its dissemination;
		ii	Coordinate and promote activities to enhance research culture in the University;
		iii	Formulate, co-ordinate and supervise the R&D policies of the University;
		iv	Formulate, co-ordinate and supervise the involvement of the University in the economic development of the region and the country including activities such as lifelong learning, capacity building, consultancy, technology transfer, innovation and entrepreneurship;
		v	Coordinate and manage sponsored R&D activities; and
		vi	Coordinate the collaborations with institutions of higher learning, R&D organizations and industries.
		18.9	The Research Council shall seek the approval of the Executive Council in all matters.
The Finance Council	19	19.1	The Finance Council shall be the Principal Financial Body of the University as prescribed under Section-28 of the Act.
		19.2	The composition of the Finance Council shall be as prescribed under Section-28 (1) of the Act. The Finance Council shall have the following additional members:
		i	Pro Vice Chancellor(s) of the University – Member(s)
		19.3	Notwithstanding anything contained in any other provisions of the Act and these Statutes,

			the first Finance Council shall be constituted by the Chancellor, as prescribed under Section-47 of the Act.
		19.4	The normal tenure of Office of the nominated members of the Finance Council shall be THREE years.
		19.5	Under extenuating circumstances, the Board may reconstitute the Finance Council before the expiry of its term.
		19.6	The Finance Council shall meet at least TWO times a year. However, the Chancellor may convene an extraordinary meeting of the Finance Council for the transaction of any urgent matter.
		19.7	The quorum for all meetings of the Finance Council shall be THREE members.
		19.8	The Finance Council shall:
		i	Examine and scrutinize the annual budget, annual audit report and annual accounts of the University and make recommendations to the Board;
		ii	Give its views and recommendations to the Board either <i>suo motu</i> or on the request of the Board or of the Vice-Chancellor on any financial question relating to the University;
		iii	Provide advise and guidance relating to resource mobilization; and
		iv	Perform any other function as decided by the Board from time to time.
		19.9	The Finance Council shall seek the approval of the Board in all matters of significance.
The Estates Council	20	20.1	The Estates' Council shall be an additional Authority consisting the following: <ol style="list-style-type: none"> 1. Vice Chancellor – Chairperson 2. One representative of the Board of Management of the University - Member 3. Dean P&D – Member 4. Finance Officer – Member 5. Professor in-charge of Civil works – Member 6. University Architect -Member

			<p>7. Registrar – Member Secretary</p> <p>8. One representative of the user department(s) - Member</p>
		20.2	<p>The Estates’ Council shall meet at least TWO times in a year. Four members of the Estates’ Council shall form quorum for its meetings. And all members of the Estates’ Council other than the ex-officio members shall hold Office for two years.</p>
		20.3	<p>The Estates’ Council shall have the following powers and functions:</p> <p>(i) It shall be responsible under the direction of the Board for construction of all major capital works after securing from the Board the necessary administrative approval and expenditure sanction.</p> <p>(ii) It shall have the power to give the necessary administrative approval and expenditure sanction for minor works and works pertaining to maintenance and repairs, within the grant placed at the disposal of the University for the purpose.</p> <p>(iii) It shall prepare estimates of cost of buildings and other capital works, repairs, maintenance and the like.</p> <p>(iv) It shall be responsible for making technical scrutiny as may be considered necessary by it.</p> <p>(v) It shall be responsible for enlistment of suitable contractors and acceptance of tenders and shall have the power to give directions for departmental works where necessary.</p> <p>(vi) It shall have the power to settle rates not covered by tender and settle claims and disputes with contractors.</p> <p>(vii) The Estates’ Council shall perform such other functions in the matter of construction of buildings and development of land for the University as the Board/Vice-Chancellor may entrust from time to time.</p>
Other Authorities	21	21.1	<p>In exercise of the powers conferred by Section-23(vi) of the Act, the Vice-Chancellor shall have the powers to constitute Committee(s), as deemed fit, with the approval of the Chancellor. Such Committees shall exercise their powers and functions within the delegated authority and terms of reference, and automatically get dissolved on completion of their tenures or by a specific order of dissolution by the Vice-</p>

			Chancellor.
CHAPTER – V STATUTES AND REGULATIONS			
Rules of Business of meetings of the Authorities:	22		The rules of business of meetings of various Authorities shall be framed by the respective authorities with the approval of the Board. The Board will frame its own rules as it deems fit.
Operation of Funds	23	23	The Finance Council shall formulate the Regulations / Guidelines, for the operation, maintenance and monitoring of Permanent Statutory Endowment Fund, University Endowment Fund, General Fund, Development Fund, Provident or Pension Fund and other funds of the University and seek the approval of the Board. The Chancellor may constitute a Funds Investment Committee with the approval from the Board to advise the Finance Council in the effective investment of funds.
Creation, abolition or restructuring of departments and faculties;	24		Any proposal for creation, abolition or restructuring of departments and faculties shall be brought before the Academic Council and if approved, it should go before the Executive Council. Only after this, and then it should be brought before the Board to create the Statute required for the same.
Conferment of honorary degrees	25	25.1	In exercise of the powers conferred by Sections 33 (viii) of the Act, the University may confer the Honorary Degree(s) as per the following procedure:
		25.2	All the proposals for the conferment of honorary degrees and/or fellowships shall be made by the Academic Council and shall require the assent of the Board before submission to the Chancellor for confirmation; and
		25.3	Such conferment shall be made either at a regular or special Convocation as may be decided by the Board.
Power to amend the Statutes	26		The Board may make new or additional Statutes or amend or repeal the Statutes as prescribed under Section-35 of the Act.

Number of seats in different programmes	27		The number of seats in different programmes shall be fixed by the Board from time to time, on the recommendations of Academic Council and Executive Council, considering the norms specified in the Act and the concerned National Regulatory Bodies.
Admission of students	28	28.1	Admissions to various programmes shall be governed as prescribed in the Regulations and / or Guidelines formulated for the concerned programmes.
		28.2	The University may conduct its own entrance test or utilize the results of other entrance tests recognized by the University, from time to time.
		28.3	The University may admit the students on the basis of merit following the procedure of normalization, wherever the entrance test is not essentially required.
		28.4	The University shall have the freedom to admit students both from India and abroad by formulating necessary Regulations and / or Guidelines.
		28.5	Regulations and/or Guidelines for the award of Degrees and other academic distinctions shall be formulated by the University.
Conferment of degrees	29		The University shall have the powers to arrange convocation(s) for the award of Degrees and other academic distinctions. Appropriate Regulations and/or Guidelines shall be framed for this purpose by the Academic Council and approved by the Executive Council.
Withdrawal of degrees	30		The Academic Council by a special resolution passed by a majority of not less than two thirds of the voting members may recommend to the Board for withdrawal of any Degree or academic distinctions conferred on any person by the University for good and sufficient cause. The Board shall consider the recommendation of Academic Council before arriving at the final decision.
Institution of fellowships, studentships, free-	31		The Board shall have powers to institute fellowships, studentships, free-ships, scholarships, medals, awards and prizes. The

ships, scholarships, medals and prizes			Finance Council shall administer such benefits through an endowment fund under the guidance of the Board. Appropriate Regulations and/or Guidelines shall be formulated for this purpose.
Conditions of Residence	32		Regulations and/or Guidelines shall be formulated for the conditions of residence of the students of the University.
Maintenance of Discipline	33		Regulations and/or Guidelines shall be formulated for the maintenance of discipline among the students of the University.
Fee Regulation Committee	34		The fee for the seats reserved for Karnataka students in the University under Section-9 of the Act shall be regulated by the Fee Regulation Committee constituted by the Government, as prescribed under Section-39 of the Act. The fees for the other seats shall be as specified by the Finance Council.
CHAPTER – VI MISCELLANEOUS			
Appointment and service conditions of employees	35	35.1	Appropriate Regulations and/or Guidelines shall be formulated for the service conditions of the employees of the University.
		35.2	All the positions at the University shall normally be filled through advertisements. The Board, however, shall have the powers to decide, on the recommendation of the Vice-Chancellor, that one or more positions be filled by invitation or by promotion from amongst the staff of the University.
		35.3	The University may, where it considers appropriate, stipulate relaxations in the prescribed qualifications/experience of the candidates.
		35.4	All the appointments other than those authorized to be made by the Vice-Chancellor to the various positions, shall be made by the Board on the recommendation of the Executive Council through Selection Committees constituted as follows:

		35.5	For Professor / Associate Professor and equivalent positions:
		i	Vice-Chancellor – Chairperson;
		ii	Registrar – Secretary;
		iii	ONE nominee of the Chancellor – Member;
		iv	TWO external experts nominated by the Board – Members;
		v	Dean of Concerned faculty – Member; and
		vi	Dean Research & Development – Member
		35.6	For Assistant Professor and equivalent positions:
		i	Vice-Chancellor or his Nominee – Chairperson;
		ii	Registrar – Secretary;
		iii	ONE nominee of the Chancellor – Member;
		iv	ONE nominee of the Vice-Chancellor – Member;
		v	ONE external expert nominated by the Board – Member;
		vi	Dean of Concerned faculty – Member;
		vii	Dean Research & Development – Member; and
		viii	Departmental Chairperson – Member
		35.7	For technical, scientific and other professional positions:
		i	Vice-Chancellor or his Nominee – Chairperson;
		ii	Registrar – Member Secretary;
		iii	ONE nominee of the Chancellor – Member;
		iv	ONE nominee of the Vice-Chancellor – Member;
		v	Dean of Concerned faculty – Member; and
		vi	Departmental Chairperson – Member.
		35.8	For other non-teaching positions:
		i	Vice-Chancellor or his Nominee – Chairperson;
		ii	Registrar – Member Secretary;
		iii	ONE nominee of the Chancellor – Member;
		iv	Dean of Concerned faculty – Member; and
		v	Departmental Chairperson – Member.
		35.9	For any other positions not covered by the above, The Vice-Chancellor at his discretion may constitute such Selection Committees as

			considered appropriate by him.
		35.10	The Chairperson of the Selection Committee may invite one or more additional experts to assist the Selection Committee.
		35.11	The qualifications and the relevant terms and conditions of appointment for the various positions shall be as prescribed by the Executive Council from time to time.
		35.12	Where a post has to be filled by contract or invitation, the Vice-Chancellor may at his discretion constitute ad-hoc Selection Committees as the circumstances may require.
		35.13	In case of a post filled by advertisement, the terms and conditions of appointment shall be advertised by the Registrar and all applications received within the date specified shall be considered by the Selection Committee. However, the Selection Committee for sufficient reasons may also consider applications received after the date.
		35.14	Regulations and/or Guidelines shall be formulated for filling of positions by promotion from amongst the staff of the University for a period not exceeding 12 months.
		35.15	No act or proceedings of the Selection Committee shall be called in question on the ground of absence of any member(s) of the Selection Committee.
		35.16	All appointments made shall be reported to the Board in its subsequent meeting.
		35.17	All the terms and conditions of the service of all categories of employees shall be as per Regulations and/or Guidelines.
		35.18	The University shall have the powers to create Chair Professorship from the University funds or external endowments and may use the funds both for creating and funding such positions fully or partially for the Chairs as approved by the Board.
		35.19	The University may make joint appointments between different faculties and / or adjunct faculty or distinguished faculty or equivalent,

			keeping in view the needs of the University as considered necessary. The Board shall frame Guidelines for such appointments from time to time.
		35.20	The University may also make joint appointment of faculty who could share his time with another institution within or outside the country on such terms and conditions as specified by the Board.
		35.21	All the employees of the University shall be governed by the conduct rules to be formulated as per the Regulations which shall be circulated to them from time to time.
		35.22	The University shall frame appropriate Regulations governing the seniority requirements for different purposes from time to time.
		35.23	The different benefits and facilities to the eligible employees and their positions held by them shall be as per Regulations to be framed by the University.
		35.24	The Board shall frame the terms and conditions for faculty consulting and entrepreneurship initiatives to promote innovation culture from time to time.
		35.25	Every employee of the University shall be appointed under a written contract with a copy to the employee concerned, as prescribed under Section-40 of the Act. The Regulations governing the service conditions of the employees of the University shall be formulated by the Executive Council and approved by the Board.
Secrecy and Confidentiality	36		All Officers, members of Authorities and staff of the University, including nominees shall maintain strict confidentiality with regard to any and all information obtained during or in connection with the work of the University.
Disciplinary action against the employee	37	37.1	The Vice-Chancellor may constitute a Committee for disciplinary action on a case to case basis. The Committee may resolve the issues, with its recommendation of action to the

			Executive Council.
		37.2	Appropriate Regulations and/or Guidelines shall be formulated for maintenance of discipline among the students and staff including unethical behaviors.
		37.3	Appropriate Regulations and/or Guidelines as per legal requirements shall be framed for handling cases of ragging in the University campus(es).
		37.4	Appropriate Regulations and/or Guidelines as per the Act, and also meeting legal requirements, shall be framed for handling cases of sexual harassment.
		37.5	Appropriate Regulations and/or Guidelines in consonance with legal requirements shall be framed for whistle blowers' provisions.
Dispute arising out of the contract	38	38.1	Any dispute arising out of the contract between the University and an employee shall be resolved in the manner provided for in the written contract. The Vice-Chancellor may constitute a Committee for resolving such disputes on a case to case basis. The Committee may resolve the issues, with its recommendation of penalties and/or rewards, if any to the Vice Chancellor.
		38.2	The Vice-Chancellor shall be responsible for the resolution of disputes and grievances among employees and students of the University.
		38.3	The Vice-Chancellor may constitute appropriate Grievance Redressal mechanisms for arbitration and resolution of disputes and grievances of the employees and students of the University.
		38.4	All disputes among the Authorities shall be referred to the Board for redressal. However, the decision of the Chancellor shall be final and binding on all disputes.
Right to appeal	39		The aggrieved employee or a student shall have the right to appeal to the Vice-Chancellor about the disciplinary action recommended by the

			Committee.
Protection of action taken in good faith	40		No suit or other legal proceedings shall be permissible against any Officer or other employee of the University for anything, which is done in good faith or intended to be done in pursuance of the provisions of the Act, these Statutes, or the Regulations.
Transitional provisions	41		Notwithstanding anything contained in this Act and the Statutes,-- (a) the first Vice-Chancellor, and Pro Vice Chancellor shall be appointed by the Chancellor and they shall be governed by the terms and conditions of service specified by the Statutes (b) the first Registrars and the Finance Officer shall be appointed by the Chancellor and they shall be governed by the terms and conditions of service specified by the Statutes ; (b) the first Executive Council, the first Academic Council, the first Finance Committee, the first Research Council and the first Estates' Council shall be appointed by the Chancellor and they shall be governed by the rules specified by the Statutes and
Permanent Statutory Endowment Fund	42		The provisions of Section 48 of the Act shall be followed for the utilization of Permanent Statutory Endowment Fund.
University Endowment Fund	43		The provisions of Section 49 of the Act shall be followed for the utilization of University Endowment Fund. Any investment of the University Endowment fund should shall be done prudentially.
General Fund	44		The provisions of Section 50 of the Act shall be followed for the utilization of General Fund.
Investment	45		The funds of the KLE Technological University shall be invested in the modes specified under the provisions of Sec 13(1) (d) r. w.s.11 (5) of the I.T. Act 1961, as amended from time to time.
Accounts	46		There shall be maintained all accounts of the KLE Technological University regularly. The accounts shall be duly audited by a qualified Chartered Accountant. Every year, the accounts shall be closed by 31 st March.

Amendment	47		No amendments to the KLE Technological University Statutes / Regulations shall be made, which may prove to be repugnant to the provisions of sections 2(15), 11, 12 & 13 & 80G of the I.T. Act, 1961 as amended from time to time. FURTHER, NO AMENDMENT SHALL BE CARRIED OUT WITHOUT THE PRIOR APPROVAL OF THE COMMISSIONER OF INCOME TAX.
Dissolution	48		In the event of dissolution or winding up of the KLE Technological University, the assets remaining as on the date of dissolution shall under no circumstances be distributed among the trustees/members of the Managing committee/Government body but the same shall be transferred to another charitable trust/society whose objects are similar to those of this trust/society and which enjoys recognition u/s 80G of the I.T. Act 1961, as amended from time to time.
Irrevocability	49		The KLE Technological University formed shall be irrevocable.
Beneficiary	50		The benefits of the KLE Technological University shall be open to all irrespective of caste, creed or religion.
Utilization	51		The funds and the income of the KLE Technological University shall be solely utilized for achievement of the objects of the KLE Technological University and no payment shall be made to the trustee/members by way of profit, interest/ dividends etc.
Appointment of Auditors	52	52.1	The Chancellor shall appoint a qualified firm of Chartered Accountants to undertake the statutory audit of accounts of the University in the manner prescribed under Section-54 of the Act.
		52.2	The contract with the firm of Chartered Accountants shall be for a term of THREE years which may be renewed for a further term of THREE years.
		52.3	The firm of Chartered Accountants shall prepare the Annual Accounts, Audit Reports and Balance Sheets and submit the same through the Finance Council and Executive Council for approval by the Board sufficiently before November 30 of each year, for the onward transmission to the Visitor, Pro Visitor

			and the Government on or before December 31 of each year.
		52.4	The Chancellor may consider appointing Internal Auditor(s) to conduct periodical and / or concurrent audit and to report the findings to the Finance Council.